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PTO/SB/05 (08-00) (modified)
Approved for use through 9/30/2001, OMB 0651-0032

NEW UTILITY PATENT APPLICATION

Patent and Trademark Of	fice: U.S. DEPARTMENT OF COM	MERCE
Attorney Docket Number	23990-08225	0
First Named Inventor	Peter B. Evans	<u>, c</u>
Title	Assessing Distributed Energy Resources for the Energynet	S D
Express Mail Label No.		

TDANCMITTAL	Title				<u> </u>
TRANSMITTAL (only for new nonprovisional applications under		•	Assessing Distributed Energy Resources for the Energynet		D 2
37 CFR 1.53(b)) Expre		ress Mail Label No.	EV 334257675U	JS	141
APPLICATION ELEMENTS		ACCOMPANY	ING APPLICA	ATION PARTS	22
1.		8. Certified Copy is claim 9. Power of Attor 10. 37 CFR 3.73(b) 11. Preliminary An 12. Information Dia Co 13. Nonpublication (b)(2)(6)	apers (cover sheet of Priority Documed) They or Authorization Statement The sclosure Statem	et & document(s)) ment(s) (if foreign prior tion of Agent ent & PTO/SB/08A ion(s) 35 U.S.C. 122 ust attach form	- 12
i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. Application Data Sheet. See 37 CFR 1.76		Commis P.O. Box	p Patent Application	its	
18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No:/_ Prior application information: Examiner: Group/Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS					
Customer Number O0758					
Name (Print/Type) Hector J. Ribera		Registration No.	(Attorney/Agent)	54,397	
Signature			Date S	eptenter 17, 2	263

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

EEE TOANS	RAITTAI		Complete if Known			
FEE TRANS	WILLAL	Application Number	Not Yet Known			
fo., EV 0	000	Filing Date	September 17, 2003 Peter B. Evans			
for FY 2	003	First Named Inventor				
Patent fees are subject to a	innual revision.	Examiner Name	Not Yet Known			
Applicant claims small entity status. See 37 CFR 1.27		Art Unit	Not Yet Known			
TOTAL AMOUNT OF PAYMENT (\$) 543		Attorney Docket No.	23990-08225			

METHOD OF PAYMENT (check all that apply)					F	FEE CALCULATION (continued)	
☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None ☐ Deposit Account:		3. AC	DITION	AL FEE	s		
Deposit Account Number	19-2555	Large	Entity	Small	Entity	Fee Description	Fee Paid
Deposit Account Name	Fenwick & West LLP	Fee Code	Fee (\$)	Fee Code	Fee (\$)		
_	orized to: (check all that apply)	1051	130	2051	65	Surcharge - late filing fee or oath	
	below Credit any overpayments	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
	e(s) during the pendency of this	1053	130	1053	130	Non-English specification	
application		1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge fee(s) indicated the above-identified dep	below, except for the filing fee to	1804 1805	920* 1,840*	1804	920* 1,840*	Requesting publication of SIR prior to Examiner action	
					•	Requesting publication of SIR after Examiner action	
	ALCULATION	1251	110	2251	55	Extension for reply within first month	
1. BASIC FILING FEE		1252	410	2252	205	Extension for reply within second month	
Large Entity Small Entity		1253	930	2253	465	Extension for reply within third month	
Fee Fee Fee Fee	Fee Description Fee Paid	1254	1,450	2254	725	Extension for reply within fourth month	
Code (\$) Code (\$) 1001 750 2001 375	Utility filing fee 375	1255 1401	1,970 320	2255	985 160	Extension for reply within fifth month Notice of Appeal	
1001 730 2001 373	Design filing fee	1401	320	2402	160	Filing a brief in support of an appeal	
1003 520 2003 260	Plant filing fee	1403	280	2403	140	Request for oral hearing	
1004 750 2004 375	Reissue filing fee	1451	1,510	1451	1.510	Petition to institute a public use proceeding	
1005 160 2005 80	Provisional filing fee	1452	110	2452	55	Petition to revive - unavoidable	
SUBTO	TAL (1) (\$) 375	1453	1,300	2453	650	Petition to revive - unintentional	
2. EXTRA CLAIM FEES	FOR UTILITY AND REISSUE	1501	1,300	2501	650	Utility issue fee (or reissue)	
Extr	ra Claims Fee from Fee Paid	1502	470	2502	235	Design issue fee	
Total Claims 20 -20**=	0 x 9 = 0	1503	630	2503	315	Plant issue fee	
Independent 7 -3** =	4 × 42 = 168	1460	130	1460	130	Petitions to the Commissioner	
Multiple Dependent	=	1807	50	1807	50	Processing fee under 37 CFR 1.17(g)	
Large Entity Small Entity		1806	180	1806	180	Submission of Information Disclosure Stmt	
Fee Fee Code (\$)	Fee Description	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9	Claims in excess of 20	1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1201 84 2201 42	Independent claims in excess of 3	1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1203 280 2203 140	Multiple dependent claim, if not paid	1801	750	2801	375	Request for Continued Examination (RCE)	
1204 84 2204 42	**Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination of a design application	
1205 18 2205 9	**Reissue claims in excess of 20 and over original patent	Other	fee (specif	y)			
SUBTOTAL (2) (\$) 168				=		SUBTOTAL (3) (\$) .00	
"or number previously paid, if	greater; For Reissues, see above	*Reduc	ed by Basi	c Filing F	ee Paid		

SUBMITTED BY					Complete (if applicable)
Name (Print/Type)	Hector J. Ribera	Registration No. (Attorney/Agent)	54,397		Telephone (650) 335-7192
Signature	Phisere			Date	September 17, 7053

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NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Nar	ned Inventor	Peter B. Evans			
Title	Assessing Distributed Energy Resources for EnergyNet				
Atty Docket Number		23990-08225			

I hereby certify that the invention disclosed in the attached application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

September 17, 7003

Hector J. Ribera, Reg. No. 54,397
Typed or printed name/Registration Number

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.